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Bonnie L. Huntman  
Typed name of person mailing transmittal

November 16, 2001  
Date of Deposit

*Bonnie L. Huntman*  
Signature of person mailing transmittal

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner: Unknown  
Applicant(s): Vernon M. Williams  
Serial No.: 09/943,765

Group Art Unit No.: 1722  
Filing date: August 30, 2001  
For (title): TRANSFER MOLDING AND UNDERFILLING METHOD AND APPARATUS

COMMUNICATION TRANSMITTAL

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Enclosed for filing in connection with the above-identified patent application, and submitted in the order listed, are:

- ☒ Postcard receipt acknowledgment (attached to the front of this transmittal).  
☒ Duplicate copy of this transmittal sheet in the event that additional filing fees are required under 37 C.F.R. § 1.16. Any such fees may be charged to deposit account no. 20-1469.  
☐ Check no. in the amount of \$ for the presentation of extra claims as calculated in the remarks section below.  
☒ Preliminary amendment including version of Specification and Claims with markings to show changes made.  
☐ Amendment or other communication in response to the non-final office action mailed.  
☐ Amendment or other communication under 37 C.F.R. § 1.116 in response to the final office action mailed.  
☐ Petition for Extension of Time in duplicate with check no. in the amount of \$.  
☐ Verified statement(s) to establish small entity status under 37 C.F.R. § 1.9 and 37 C.F.R. § 1.27 signed by (or on behalf of)  
☐ Information disclosure statement and information disclosure citation form PTO-1449 with copies of listed documents.

Remarks:

- ☐ An amendment has been made involving one or more claims in the application. The calculation to determine whether any additional fee is due is presented below.

	1	2	3	\$EXTRA
Total claims	-	=	x \$18.00 =	
Indep. claims	-	=	x \$84.00 =	
First presentation of a multiple dep. claim (+\$280.00)				
SUBTOTAL				
Reduction for small entity - 50% of subtotal*				
TOTAL ADDITIONAL FEE (subtotal minus any reduction)				

\*Verified statement(s) must be attached to support this reduction if small entity status has not been previously established.

- 1 Claims remaining after amendment.  
2 Highest number of claims previously paid for. Not less than 20 for total claims and 3 for independent claims.  
3 Difference between claims remaining and highest number previously paid for. If less than zero, enter "0."

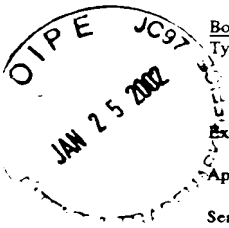
- ☒ The commissioner is authorized to charge any additional fees required but not submitted with any document or request requiring fee payment under 37 C.F.R. §§ 1.16 and 1.17 to deposit account no. 20-1469 during the entire pendency of this application.

Respectfully submitted,

*David L. Stott*

David L. Stott  
Reg. No. 43,937  
TRASK BRITT  
P.O. Box 2550  
Salt Lake City, UT 84110-2550  
(801) 532-1922

Date: November 16, 2001  
Enclosures: As identified above



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1722

PATENT  
Attorney Docket No. 4303.1US (99-0584.1)

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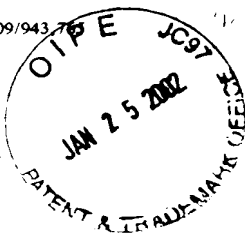
Bonnie L. Huntsman  
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*Bonnie L. Huntsman*  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner: Unknown, Group Art Unit No.: 1722  
Applicant(s): Vernon M. Williams, Filing date: August 30, 2001  
Serial No.: 09/943,700, For (title): TRANSFER MOLDING AND UNDERFILLING METHOD AND APPARATUS



COMMUNICATION TRANSMITTAL

Commissioner for Patents  
Washington, D.C. 20231

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SUBTOTAL				
Reduction for small entity - 50% of subtotal*				
TOTAL ADDITIONAL FEE (subtotal minus any reduction)				

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Respectfully submitted,

*David L. Stott*

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Reg. No. 43,937  
TRASK BRITT  
P.O. Box 2550  
Salt Lake City, UT 84110-2550  
(801) 532-1922

Date: November 16, 2001  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Vernon M. Williams

Serial No.: 09/943,765

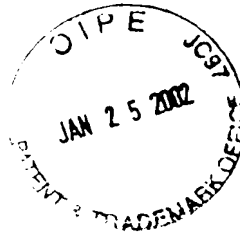
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For: TRANSFER MOLDING AND  
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Examiner: Unknown

Group Art Unit: 1722

Attorney Docket No.: 4303.1US (99-0584.1)



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November 16, 2001  
Date of Deposit

*Bonnie L. Huntsman*  
Signature of registered practitioner or other person  
having reasonable basis to expect mailing to occur on  
date of deposit shown pursuant to 37 C.F.R. §  
1.8(a)(1)(ii)

Bonnie L. Huntsman  
Typed/printed name of person whose signature is  
contained above

PRELIMINARY AMENDMENT

Box Non-Fee Amendment  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

Prior to examination of the above-referenced patent application on the merits, entry of the amendments as set forth herein is respectfully solicited.

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TECHNICAL STAFF